

# Raman Spectroscopy in Medicine



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## Center for Biomedical Optics



### **Laser Institute**

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### **Projects**

Raman Detection of Carotenoid Pigments

Coherence Domain Optical Imaging

Photodynamic Therapy

Solid State Laser Development

### **Funding:**

**National Institute of Health**

**National Eye Institute**

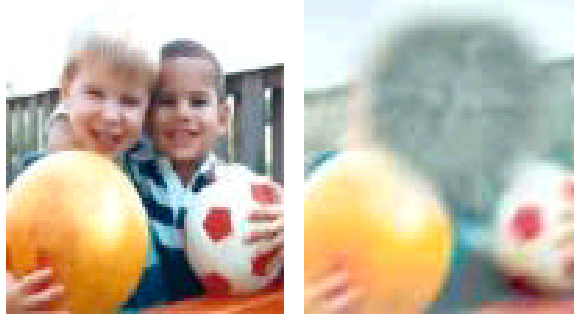
**Research to Prevent Blindness, Inc.**

**Spectrotek, L.C.**

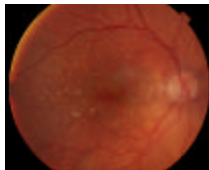


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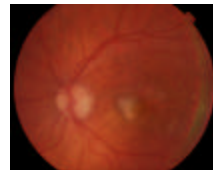
# Age-related Macular Degeneration (AMD)



Healthy retina



Dry AMD

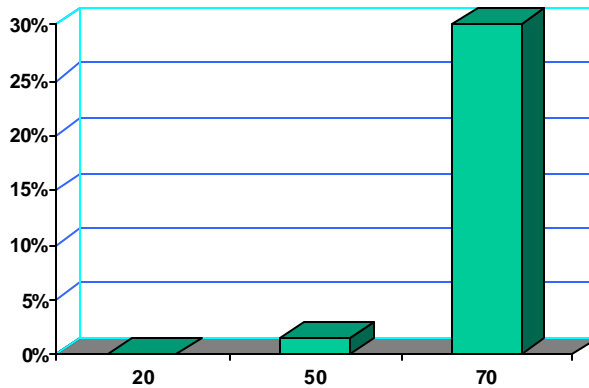


Wet AMD

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## AMD Risk versus Age

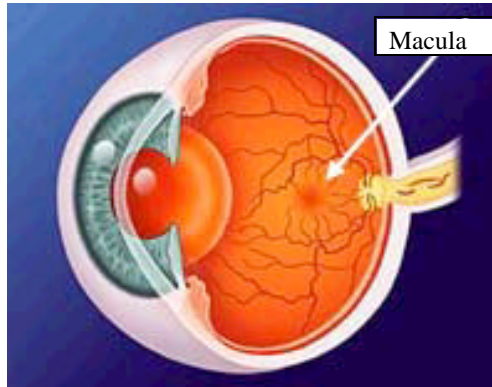


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# Carotenoids in Human Retina

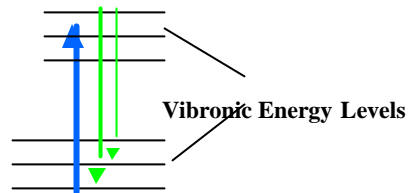
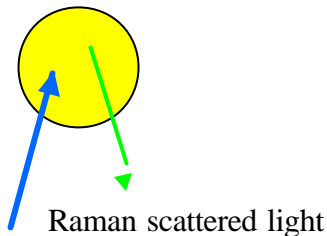
- **Macula:** retinal area of highest visual acuity
- **High concentrations of xanthophyll carotenoids lutein and zeaxanthin** (yellow coloration)
- **Role of carotenoids:** optical filtering; antioxidants (protection of macula from light-induced damage)
- **Individuals with high dietary intakes and blood levels of lutein and zeaxanthin** have a lower rate of visual loss from age-related macular degeneration (AMD), the leading cause of blindness in the elderly.



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# Raman Scattering

Sir Chandrasekhar Raman, Nobel Prize in Physics 1930



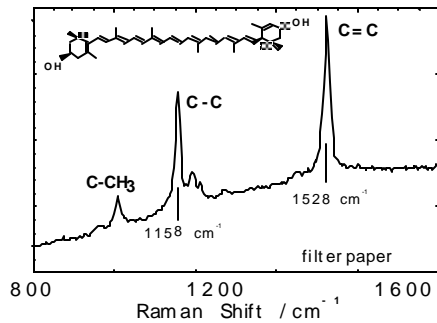
Laser light

**Carotenoid molecules shift blue laser light color to green**



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## Raman Spectroscopy of Carotenoids



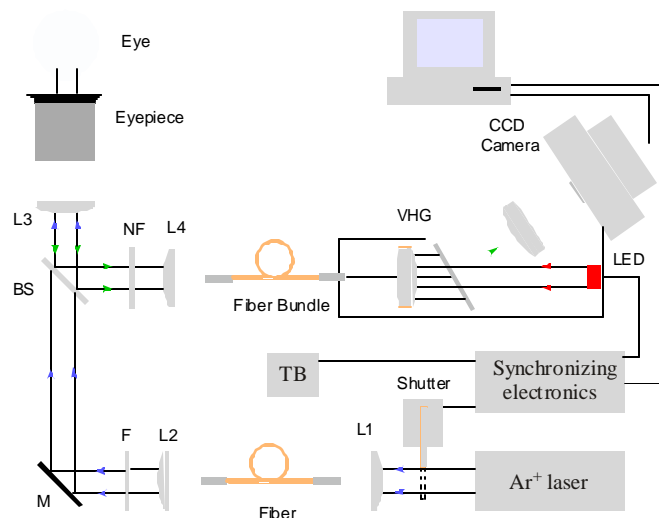
Carotenoids have a characteristic Raman spectral “fingerprint” generated from vibrations of their long carbon backbone.

Any other molecule would produce a different fingerprint (peaks with different locations and intensities)



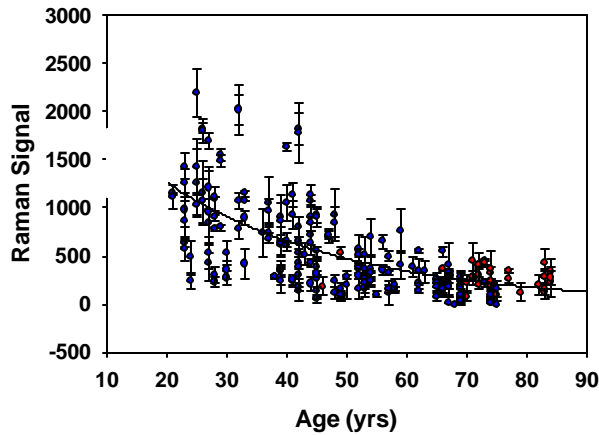
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## Portable Clinical Raman Instrument



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## Loss of Macular Pigment with Increasing Age



## Comparison of Health Screening Tests

Cholesterol Test — Heart Disease  
PSA Test — Prostate Cancer  
PAP Smear — Cervical Cancer  
Mammography — Breast Cancer  
Bone Density Test — Osteoporosis

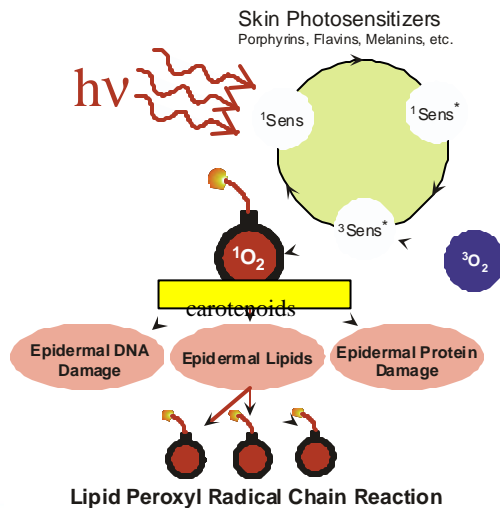
# Carotenoids in other Tissues

Largest Organ of the Human Body ?

! Skin !



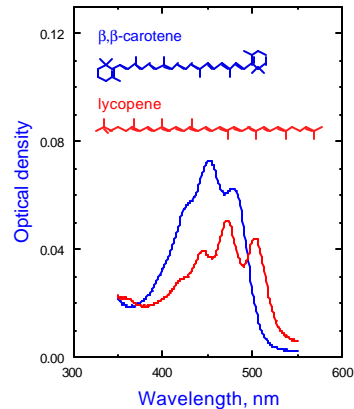
## Sunlight - induced Singlet Oxygen / Reactive Oxygen Species



# Lycopene and $\beta$ -Carotene

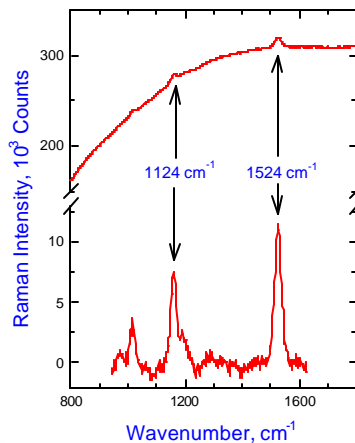
## Absorption

- Most potent singlet oxygen quenchers found in the human body
- Predominant carotenoids found in skin
- Lipophilic molecules making them especially well suited to act as chain-breaking antioxidants in the lipid-rich epidermis
- Resonant Raman spectroscopy for non-invasive detection ?



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## Raman Spectra of Human Skin Measured in Vivo



Typical Raman spectra for human ventral forearm skin, measured in vivo. Illumination conditions: 488 nm laser wavelength, 10 mW laser power, 20 sec exposure time, 2 mm spot size. Spectrum shown at top is spectrum obtained directly after exposure, and reveals broad, featureless, and strong fluorescence background of skin with superimposed sharp Raman peaks characteristic for carotenoid molecules. Spectrum at bottom is difference spectrum obtained after fitting fluorescence background with fifth-order polynomial and subtracting it from top spectrum. The main characteristic carotenoid peaks are clearly resolved with good signal-to-noise ratio, at 1159 and 1524  $\text{cm}^{-1}$ .

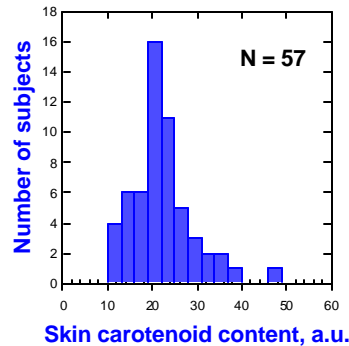


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# Non-Invasive Laser Raman Detection of Carotenoids in Human Skin

- Feasible to assess carotenoid content in large numbers of subjects
- Permits study of correlation between disease and tissue antioxidant levels
- Also: Nutrition (bioavailability studies, uptakes, correlation with blood levels, etc.)

## Skin measurements GRC Ventura 2001



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## Summary

- Measured carotenoid antioxidants in living human tissues in vivo using novel non-invasive optical method
- Sensitive, specific and precise optical technique
- Future use: Improve the health of the world with simple high-tech screening test



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# Patents

United States Patent  
Bernstein et al.

Patent Number: 5,873,831  
Date of Patent: Feb. 23, 1999

[54] METHOD AND SYSTEM FOR MEASUREMENT OF MACULAR CAVITATION LEVELS

[57] Inventor: Paul S. Bernstein, Warren Gelferstein, Robert W. McEneaney, III of Salt Lake City, Utah

[73] Assignee: The University of Utah Technology Transfer Office, 343 Lake City, Utah

Hermann, R.R., Fialk, K., and Carré-Cotteron, J., "Heater Process Device to Measure Pulse" Thesis, Oklahoma State Univ., No. 33, 1951-1952, 201, 206, 207.

Harshbarger, G.J., Sandberg, D.M., Krieger, N.E., Benson, M.J., and Ashby, A.J., "Biological Correlates of Pseudostrabismic Fusion," *Exp Ophthalmol*, Vol. 34, No. 1, pp. 277-287, Feb. 1995.

Bow, R.A., Laidman, J.T., and Crane, G., "Optical Density Spectra of the Macular Pigment in Man and in Simi," *Vision Res*, vol. 32, No. 1, pp. 103-110, 1972.

Rand, J.J., "Visual Evoked Responses and Fusion," *The New York Times*, Science C, p. 1, Feb. 21, 1985.

Procesy Features—Brian Coker, Attorney, Agent, or Firm—Wolfram, Niekirk & Siskay

[57] ABSTRACT

The present invention disclosed a new and useful method and apparatus for use in determining the levels of macular pigmentation of the macula of the retina. Specifically, the system and apparatus of the present invention provide a non-invasive, rapid, and objective determination of the macular pigmentation levels and provide other valuable diagnostic information applicable to large populations. The present invention measures the levels of macular pigmentation in an individual's macula. Macular pigmentation, like light is presented into a retina, particularly in the macula area, is very sensitive to exposure from ultraviolet light scattered from the retina. The rigidity of the light is scattered diffusely by the retina resulting in the formation of a cone of light which is scattered incidentally as a wavelength dependent function of the location of the retina. The present invention is a diagnostic system which the retina are illuminated against axial standards for the particular axial location being tested.

22 Claims, 2 Drawing Sheets

[52] Int. Cl.<sup>7</sup> A61B 3/00, A61B 3/14

[51] Field of Search 380070, 380075, 380080, 380085, 380090, 380095, 475, 510, 518, 550, 560, 800, 802, 804, 806

[50] U.S. PATENT DOCUMENTS

4,518,000 1/19/82 Rosen .....

4,621,076 8/18/82 Gilman et al .....

4,548,988 6/18/82 Goren .....

5,172,328 1/19/93 Haraoka et al .....

5,108,570 7/15/92 Handwerker et al .....

OTHER PUBLICATIONS

Schick, Wolfgang, "Commentary on the Review of *Retinal Photochemicals and the Processing of Visual Information*," *Journal of the Optical Society of America*, pp. 1-2, 1973.

Stiles, W.S., and Burch, H., "The Macular Pigment and Its Role in Visual Function," *Journal of the Optical Society of America*, pp. 1-10, 1973.

Nicolaus, J.M., Amin, U.A., Sperduto, J.D., Ellis, R., Hill, N., Packer, C.L., Johns, M.J., and Age-related Macular Degeneration Study Group, "The Macular Pigment and Age-Related Macular Degeneration: A Cross-sectional Study of the Macular Pigment and Age-Related Macular Degeneration," *Invest. Ophthalmol. Vis. Sci.*, vol. 32, No. 1, pp. 1-10, 1991.

22 Claims, 2 Drawing Sheets



(15) United States Patent  
Gelferstein et al.

(16) Patent No.: US 6,205,354 B1  
(15) Date of Patent: Mar. 20, 2001

(54) METHOD AND APPARATUS FOR NON-INVASIVE MEASUREMENT OF CAROTINOID AND RELATED CHEMICAL SUBSTANCES IN BIOLOGICAL TISSUE

(57) Inventor: Warner Gelferstein, Robert W. McEneaney, III, and Robert W. McEneaney, III of Salt Lake City, UT

(73) Assignee: University of Utah, Salt Lake City, UT

(\*) N/A: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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